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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:



Serial No.: 10/028,978

Filed: December 28, 2001

For: INFORMATION RECORDING MEDIUM,  
REPRODUCING APPARATUS AND  
RECORDING APPARATUS THEREOF

: Art Unit: To be assigned

: Examiner: To be assigned

: Atty Docket: 21994/0036

**RESPONSE TO "NOTICE TO FILE MISSING PARTS"**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION** dated March 18, 2002, Applicants submit herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts;
2. Original executed Declaration;
3. The Director is authorized to charge Deposit Account No. 22-0185 \$910.00 covering:

\$740.00 - Base Filing fee,

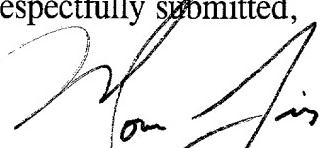
\$130.00 - Surcharge for late filing of Declaration, and

\$ 40.00 - Assignment recordation fee, and

Information Disclosure Statement

The Director is hereby authorized to charge any of the above fees, as well as any insufficient fees, including any fees required under 37 CFR §§ 1.16 or 1.17, or credit any overpayment, to Deposit Account No. 22-0185. A duplicate copy of this authorization is also attached.

Respectfully submitted,



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Telephone: 202-331-7111

Date: 4/9/02



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,978	2/28/2001	Tetsuya Kondo	21994/00036



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## CONFIRMATION NO. 3742

## FORMALITIES LETTER



\*OC00000007662645\*

Date Mailed: 03/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- **The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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*A copy of this notice MUST be returned with the reply.*

*[Signature]*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE